A web based method for individualizing outcome measurement in clinical practice and clinical trials

Kenneth Rockwood MD, FRCPC, FRCP Division of Geriatric Medicine Dalhousie University & Capital District Health Authority Kathryn Allen Weldon Professor of Alzheimer Research Halifax, Canada





Disclosures - in last 5 years

Speaker fees /honoraria	Research grants	Stocks / ownership
Pfizer, Elan, Wyeth, Shire	Canadian Institutes of Health Research, Alzheimer Society of Canada, Nova Scotia Health Research Foundation, National Natural Sciences Research Foundation of China	DementiaGuide Inc. (DGI) DGI has contracts with Pfizer, JAI, GSK, Shire, British Telecom, Alberta Health



Outline

Individualized outcome measures:

- the rationale for their use.
- an example from hip fracture
- an example from dementia.
- consideration in relation to biomarkers
- summary



What does it mean to individualize?

To characterize by distinctive, individual qualities.

To point out, specify, particularize.

To personalize; to make more obviously related, identifiable as belonging to a particular individual.

(not everyone's considerations are the same)





Overview of the argument (1)

- Older adults and anyone with dementia commonly have health problems with high dimensionality.
- Typical statistical dimensionality reduction methods commonly are too crude for clinical use, as they result in irrelevant or arbitrary standards.
- Individualized measures offer an alternative for clinical care and when used in conjunction with standardized measures, allow for formative evaluation of health care programs.



Overview of the argument (2)

- Individualized outcome measures are rooted in clinical thinking and allow for clinical meaningfulness to be evaluated.
- The *criteria* by which clinical meaningfulness should be evaluated should themselves be: relevant, non-arbitrary, quantifiable and replicable.
- No single measure meets all criteria; judgment, aggregating many sources of information, will be needed.

(We must get over "gold standard" thinking.)



An example from hip fracture rehabilitation

- An 87 year old woman is transferred from the orthopaedic ward to the geriatric restorative care unit on her third post-operative day.
- She requires the maximal assistance of two people to transfer to a bedside chair, can pivot but not walk, is able to feed herself if the tray is set up, but otherwise cannot do any personal care.
- She is discharged home after 9 days; the mean length of stay on restorative care is 11 days.
- Is she a success?



	Mobility	Function	Future care
Much better than expected (+2)			
Somewhat better than expected (+1)			
Goal (0)			
Somewhat worse than expected (-1)			
Much worse than expected (-2)			



	Mobility	Function	Future care
Much better than expected (+2)			
Somewhat better than expected (+1)			
Goal (0)			
Somewhat worse than expected (-1)			
Much worse than expected (-2)	Cannot walk. 2- person max assist for transfer	Can feed self with set up.	In hospital



	Mobility	Function	Future care
Much better than expected (+2)			
Somewhat better than expected (+1)			
Goal (0)	Walks with walker 30 m in 7 days	Help in house- work, bathing by 7 days	Discharge to flat in 7 days with help
Somewhat worse than expected (-1)			
Much worse than expected (-2)	Cannot walk. 2- person max assist	Can feed self with set up.	In hospital



	Mobility	Function	Future care
Much better than expected (+2)	Independ't with walker > 50 m in < 7 days	Independent inside her flat in < 7 days	Discharge in <7 days, needs no assistance
Somewhat better than expected (+1)	Independ't with walker 30 m in <6 days		Discharge in <6 days with assistance
Goal (0)	Walks with walker 30 m in 7 days	Help in house- work, bathing; 7 days.	Discharge to flat in 7 day with assistance
Somewhat worse than expected (-1)	Requires stand- by assistance; or goal takes >9 d	Needs help with	Discharge in 9-12 days
Much worse than expected (+2)	Cannot walk. 2- person max assist for transfer	Can feed self with set up.	In hospital



	Mobility	Function	Future care
Much better than expected (+2)	Walks with walker > 50 m in < 7 days	Independent inside her apartment in < 7 days	Discharge in <7 days without need for assistance
Somewhat better than expected (+1)	Walks with walker 30 m in <6 days		Discharge in <6 days with assistance
Goal (0)	Walks with walker 30 m in 7 days	Help in house- work, bathing; 7 days.	Discharge to apt in 7 day with assistance
Somewhat worse than expected	Requires stand-by assistance; or goal takes >9 days	Needs help with	Discharge in 9-12 days
Much worse than expected	Cannot walk. 2- person max assist	Can feed self with set up.	In hospital

	Mobility	Function	Future care
Much better than expected (+2)			
Somewhat better than expected (+1)			
Goal (0)	Able to climb 2 flights stairs with help, 14 days	Help in house- work, cooking, bathing; 10 days.	Discharge to apt in 14 day with assistance
Somewhat worse than expected			
Much worse than expected			



	Delirium	Medications	Breathing
Much better than expected (+2)			
Somewhat better than expected (+1)			
Goal (0)	Not calling out at night; accepts need for care.	On 12 medications; no anti- psychotics	No PND; can climb stairs with only one stop to rest
Somewhat worse than expected			
Much worse than expected			



GAS Scoring

50 + {[$10\Sigma(w_i x_i)$] / [$0.7\Sigma w_i^2 + 0.3(\Sigma w_i)^2$]^{1/2}}

 w_i = weight applied to the *i*th goal x_i = degree to which goal is achieved (+2,+1,0,-1,-2)

> 50= goals achieved <50=goals not met >50=goals exceeded



T. J. Kiresuk, A. Smith, & J. E. Cardillo (Eds.), Goal Attainment Scaling: Application, Theory, and Measurement. Hillsdale, NJ: Lawrence Erlbaum (1994).

What is the rationale for using individualized outcome measures?

- Validity (content; dimensionality, dynamics)
- Generalizability (*cf.* educational bias in cognition, gender/ cultural bias in function)
- Clinical meaningfulness (meeting patient preferences)
- Knowledge translation (knowing what to look for)
- Responsiveness, & seeing what you did not know to look for



Rockwood K. Trial Design & Measurement in Dementia Therapeutic Research. London: Martin Dunitz, 2006.



Criteria for clinical meaningfulness in neurological drug trials.

- Statistically significant differences
- Replicable differences, within and across trials
- Big enough differences to be at least clinically detectable
- Dose response
- Biologically plausible
- Important to individuals (this is a sly dig against "biomarkers")



Rockwood K, MacKnight C. *Neuroepidemiology*. 2001;20(2):51-6. Rockwood K. *Alzheimer Research & Therapy* 2010; 2:8.

Sample Goal

(one of five for this patient)

Step 1 – identify a goal area

Step 2 – define the problem

in plain language

	operate new TV (function) wt: 4
Much better than baseline (+2)	
Somewhat better than baseline (+1)	
Baseline (0)	Watches news and sports programs daily. Turned old TV on/off and changed channels using manual knobs but now can't operate remote for new TV. Knows when the news and other programs are on but unable to turn TV on or change channel with remote. Watches TV if it's already on but usually doesn't try to change the channel. Sometimes tries to use remote but finds all the buttons too confusing. Pulls plug out of the wall to turn TV off.
Somewhat worse than baseline (-1)	
Much worse than baseline (-2)	
Attainment level ad	chieved at final visit



Rockwood et al. CMAJ 2006;174:1099-1105.

Sample Goal

(one of five for this patient)

Step 3 – identify potentially better and worse outcomes

Step 4 – rate the goals relative to each other (only for patient/carer goals)

Step 5 – at follow-up, scale attainment (current status) as compared to the baseline status

	operate new TV (function) wt: 4
Much better than	Learns and remembers which buttons on the remote to use to
baseline (+2)	turn the TV on/off and change the channel.
Somewhat better	Learns and remembers location of the manual button to turn
than baseline (+1)	TV on/off. Uses button instead of unplugging TV.
Baseline (0)	Watches news and sports programs daily. Turned old TV
	on/off and changed channels using manual knobs but now
	can't operate remote for new TV. Knows when the news and
	other programs are on but unable to turn IV on or change
	doesn't try to change the channel. Sometimes tries to use
	remote but finds all the buttons too confusing. Pulls plug out
	of the wall to turn TV off.
Somewhat worse	No longer tries to use remote – just asks others to help turn
than baseline (-1)	TV on/off or change channel.
Much worse than	Stops watching TV at all because trying to operate it is too
baseline (-2)	much of a bother.
Attainment level ad	chieved at final visit



Rockwood et al. CMAJ 2006;174:1099-1105.

GAS Scoring

50 + {[$10\Sigma(w_i x_i)$] / [$0.7\Sigma w_i^2 + 0.3(\Sigma w_i)^2$]^{1/2}}

 w_i = weight applied to the *i*th goal x_i = degree to which goal is achieved (+2,+1,0,-1,-2)

50=no change (maintenance) <50=decline in 1 or more goals >50=improvement in 1 or more goals

Rockwood, et al., *CMAJ* 2006;174:1099-1105. T. J. Kiresuk, A. Smith, & J. E. Cardillo (Eds.), Goal Attainment Scaling: Application, Theory, and Measurement. Hillsdale, NJ: Lawrence Erlbaum (1994).



GAS by the treating physician compared with the CIBIC+ by a blinded clinical rater in the VISTA trial



Effect size (SRM, end of DBP) = 0.48

Effect size (SRM, end of DBP) = 0.52



Rockwood et al., CMAJ 2006;174:1099-1105.

Impact of galantamine on repetitive questioning: end of double-blind phase





Rockwood et al., *Neurology* 2007;68:1116-1121.

Why individualize? Systematic review clinical significance in dementia RCTs

- Of 57 dementia drug RCTs, only 46% discussed clinical significance.
- Most used a 4-point change in the Alzheimer's Disease Assessment Scale-Cognitive Subscale (ADAS-Cog) and changes on global scales.
- Only one trial studied patient perspectives on thresholds for clinical significance.





Tarenflurbil trial: Change in the ADAS-cog over 18 months.



Decline in ASAS-Cog in relation to staging. Top line: MMSE 21-26. Bottom line: MMSE15-20





Harrison J et al. Arch Neurol 2007;64:1323-1329

Figure 2b. Individual responses on clinician-GAS by ADAS-Cog outcome at 16 weeks



□ CGAS worsened



Rockwood et al., Int J Geriatric Psychiatry 2010

The dementia disconnect

- Clinical trials track a lot of information about memory, language, visuospatial function and calculation.
- Patients/ families care about initiative, planning, irritability social conduct, and verbal repetition as typically the most important symptoms



Online tracking: www.DementiaGuide.com



www.dementiaguide.com website:

SymptomGuide[™]: web enabled interactive tool to identify/track symptoms of dementia and create individualized profiles

Applications: carers and health care providers to help inform the diagnosis, communication, care planning and disease management

Proprietary Technology





HOME | SYMPTOMGUIDE™ | PROFILE | JOURNAL | SYMPTOM LIBRARY | COMMUNITY | SUPPORT | ACCOUNT | LOGIN

Home > Symptom Library

Physical Changes Thinking & Judgment Memory & Language Everyday Activities Behaviour Personality Changes Leisure Activities

Symptom Library

If you or someone you care about shows symptoms of dementia, it can be a confusing and scary time. It's a time when you need as much information and support as possible.

The SymptomGuide™ Symptom Library is a comprehensive source of information about the symptoms associated with dementia. By understanding the symptoms, you will gain a deeper sense of awareness about dementia and its affects. Knowing what you're dealing with and what you can expect in the future can lead to greater confidence and peace of mind.

In order to assist with your research, we have organized symptoms in the following groups:

Description	Stage	What's Happening in the Brain?	Management Strategies	Doctor's Diary

To start, please select one of the options on the left.



Home > Symptom Library > Everyday Activities Symptoms

Symptom Library

Description

Stage

What's Happening in the Brain? Management Strategies

Doctor's Diary

Dressing | Description

Difficulty with dressing is typical in moderate dementia, especially when the person you care for has not been given treatment yet. They may need to be reminded to change clothes or dress appropriately. Often they will require assistance, as they may have difficulty with buttons, zippers or shoelaces. They may resist assistance due to the lack of privacy and loss of independence, or because of feelings of vulnerability without their clothes on. Dressing requires the support of complex web of neurological skills that the progress of Alzheimer's diseases will, over time, disrupt. For example, to dress themselves, people must understand that time has passed. They must be able to look for and find their clothing. The person you care for may not realize that their physical appearance may be inappropriate due to memory loss or confusion of time and place.



Physical Changes Thinking & Judgment Memory & Language Everyday Activities Bathing Dressing Driving Eating (problems with) Financial Management (problems with) Household Chores Incontinence Meal Preparation/Cooking Operating Gadgets/Appliances Personal Care/Hygiene

Symptom Library Description Stage What's Happening in the Brain? Management Strategies Doctor's Diary Dressing | stage Diffculty with dressing is usually seen with moderate dementia. It starts with requiring prompting to change clothes. As it progresses, people need more and more hands-on help. Previous Symptom

Symptom Library



Description

Stage

What's Happening in the Brain? Management Strategies Doctor's Diary

Dressing | What's Happening in the Brain

To get dressed requires a series of complex functions. You need to be aware of your situation, and that it will change, requiring you to put on clothes. You need to have the judgment to choose the correct clothes, and the initiative to want to put the clothes on. You also need to be able to make the right sequence of movements, including the five motor movements involved in buttoning and zipping.

A part from the judgment, awareness and initiative features, all parts of executive function , the recognition and coordination of movement is known as praxis, and its loss is called apraxia . Apraxia is common in Alzheimer's disease , and reflects the disruption of the brain chemicals that make up motor sequences. The inability to get dressed is one form of apraxia.

Remember that dementia exists when cognitive impairment (e.g. problems with thinking, memory, judgment) is severe enough to interfere with function. Function can relate to complex activities, such as driving or complex hobbies, which are impaired first, but also to more basic activities, such as personal care, that become impaired later.

The capacity for function does not exist in one part of the brain, but rather requires the integration of several brain activities. Functions like dressing are basic and over learned, so that by the time they become affected, many brain areas are involved. Still, we have some hints based on patterns. For example, the fact that a person can still get dressed when prompted to do so suggests that the problem in that case is one of initiation, which is characteristically a frontal lobe function. Later, as the disease is more widespread, the inability to carry out a motor function because the thinking parts of the brain are affected is known as apraxia. Characteristically, the brain's parietal lobes are also involved then.





HOME | SYMPTOMGUIDE** | PROFILE | JOURNAL | SYMPTOM LIBRARY | COMMUNITY | SUPPORT | ACCOUNT | LOBOUT

Home > Profile

Symptom Profile Symptom Reports Personal Profile Surveys

Profile 's Personal Profile

Current Personal Profile

The following information is associated with 's Personal Profile created on January 21, 2010.

Personal Information

Date of Birth: February 5, 1928 Sex: Male Race: White Ethnicity: [no data entered] Marital Status: Married Education Level: High school completion Employment Status: Retired

Living Arrangements Information

Which of the following best describes 's current living arrangements? With me (if you are caregiver)

Have there been any changes in these living arrangements in the past 5 years? No

Approximately how often do you see on a weekly basis? Everyday (we live in same household)

During a typical visit, how much time do you spend with ? 7 or more hours Personal Profile

Living Arrangements



Health & Medication Information

How long ago did you first notice that was experiencing cognitive problems? (e.g. memory troubles, repeating things, etc.)

1 year or more

Has been diagnosed with dementia?

Yes

Which type of Dementia?

Alzheimer's disease

When did receive this diagnosis?

September, 2008

Is currently being treated with prescription medication for dementia? Yes

Please indicate which medication therapy is currently taking to treat dementia:

Aricept (donepezil) Dosage: 5 mg tablet, once per day

When did start using this drug therapy?

January, 2009

Is currently taking any other prescription or non-prescription medications? If so, please indicate which:

[no data entered]

Does also have problems with any of the following?

[no data entered]



Health and Medication Information



HOME | SYMPTOMGUIDE** | PROFILE | JOURNAL | SYMPTOM LIBRARY | COMMUNITY | SUPPORT | ACCOUNT | LOGOUT

Home > Symptom Guide

SymptomGuide" Creating 's Symptom Profile

This section allows you to record and track the progress of symptoms. You will be prompted to categorize and rank the symptoms at each step. If you have any technical difficulties while completing this section, please contact us.

1 Describe Symptoms

2 Rank Symptoms

Save Profile

Choose Symptoms to add to 's Profile

To start, please select a Category from the column on the left. By selecting a Category, you will see a range of associated symptoms in the middle column. When you select one of the symptoms, a description will appear to the right. If this description fits the symptom you are trying to record, click on Select this Symptom and Continue. Or, alternately, add a symptom in the space marked 'Other', and then click Select this Symptom and Continue.

3

Category

Everyday Activities Memory & Language

Thinking & Judgment

Behaviour

Leisure Activities

Personality Changes

Physical Changes

Symptoms Judgment Comprehension/ Understanding Attention/Concentration (lack of) Following Instructions Decision Making (problems with) Insensitivity Inappropriate Language and Beha Unsafe Actions

Other:

Definition Inability to remain focused or alert.

Select & Continue

Choosing Symptoms to Track

DementiaGui

Home > Symptom Guide

SymptomGuide

Create 's Symptom Profile

1 Describe Symptoms

2 Rank Symptoms 3

Save Profile

Interest/Initiative (lack of): Personality Changes

People with this symptom (Interest/Initiative (lack of)) may exhibit: Shows a lack of interest in activities/events. Is apathetic. listless

Descriptors

From the descriptors below, please select those that best describe , and that would be important for you to track over the course of the treatment.

- shows less interest in daily tasks or activities (e.g. cooking, bathing, watching TV)
- requires prompting to start most tasks or activities (e.g. take a bath, water the flowers)
- is reluctant to participate in previously enjoyed activities (e.g. going for a walk, going out for dinner)
- initiates tasks or activities but does not complete them
- 🔲 does not care about hygiene or personal appearance
- l shows little interest in the lives of others
- shows little interest in current events
- is uninterested in events that are going on around them
- avoids making decisions
- complains of being bored; says there is nothing for them to do
- seems "vacant" or stares into space
- is less motivated as the day progresses

Other:	
Other:	
Other:	

Descriptors for tracking symptoms











HOME | SYMPTOMGUIDE™ | PROFILE | JOURNAL | SYMPTOM LIBRARY | COMMUNITY | SUPPORT | ACCOUNT | LOGOUT



Ranking Symptoms



HOME | SYMPTOMGUIDE™ | PROFILE | JOURNAL | SYMPTOM LIBRARY | COMMUNITY | SUPPORT | ACCOUNT | LOGOUT

Home > Profile

Symptom Profile Symptom Reports

Summary Score Report Summary Report Summary Detailed Report Summary Over Time Report

Personal Profile Surveys

Profile

Current Symptom Profile Summary Report

The following symptoms were tracked on Jan 18, 2010 0:00:00.

Driving (Everyday Activities) No Change Occurs 2 time(s) a day

Interest/Initiative (lack of) (Personality Changes) This symptom has not been tracked yet. Occurs 4 time(s) a week

Attention/Concentration (lack of) (Thinking & Judgment) Somewhat Better Occurs 2 time(s) a day

Memory of Recent Events (Memory & Language) Much Worse Occurs 1 time(s) a day

Symptom Report

HOME | SYMPTOMGUIDE™ | PROFILE | JOURNAL | SYMPTOM LIBRARY | COMMUNITY | SUPPORT | ACCOUNT | LOGOUT

Home > Profile



Symptom Profile Symptom Reports

Summary Score Report Summary Report Summary Detailed Report Summary Over Time Report

Personal Profile Surveys

Profile

Current Symptom Profile Detailed Report

The following symptoms were tracked on Jan 18, 2010 0:00:00.

Driving (Everyday Activities)

No Change Occurs 2 time(s) a day

Descriptors:

- · has difficulty maintaining attention and concentration when driving
- forgets familiar routes when driving
- fails to recognize traffic signs (e.g. stop signs)
- forgets where they parked the car
- forget where they were going or gets lost when driving

Interest/Initiative (lack of) (Personality Changes)

This symptom has not been tracked yet. Occurs 4 time(s) a week

Descriptors:

- shows less interest in daily tasks or activities (e.g. cooking, bathing, watching TV)
- is reluctant to participate in previously enjoyed activities (e.g. going for a walk, going out for dinner)
- is uninterested in events that are going on around them
- avoids making decisions
- seems "vacant" or stares into space

Attention/Concentration (lack of) (Thinking & Judgment) Somewhat Better Occurs 2 time(s) a day

Descriptors:

is easily distracted

Detailed Symptom Report



HOME | SYMPTOMOUIDE* | PROFILE | JOURNAL | SYMPTOM LIBRARY | COMMUNITY | SUPPORT | ACCOUNT | LODOUT







Symptom Profile Graphs

Responsiveness of the SymptomGuide™

- Cohen's d of CLOX-1 = 0.25; SymptomGuide[™] =0.25
- Interpretation: 1.3 point improvement, vs. "a 54% reduction in symptoms in responders". Most improvement was seen in a reduction in anxiety, irritability and improvement in initiation of hobbies & social activities.



Objections to individualization

- Not everyone has the same goals, so how can we tell if active treatment and placebo groups set the same goals?
- Isn't it too susceptible to "gaming"? What if people just set goals that are too easy to achieve?

- Isn't it too subjective compared with the ADAS-Cog, or with MRI measures?
- Doesn't it take too much time?
- What if just a few items drive the treatment effect?
- Is it reliable?
- How feasible is it to introduce a new measure that takes a different approach?



NOVA SCOTIA PROVINCIAL PHARMACARE PROGRAMS

REQUEST FOR 1st CHOLINESTERASE INHIBITOR (FOR INITIAL 90 DAYS COVERAGE)

Please provide the following to support your request for insured coverage of the first cholinesterase inhibitor for an initial period of 90 days.

		INFORMATI	ON	
PATIENT'S SURNAME	PATIENT'S GIVEN N	AME HEAD	TH CARD NUMBER	DATE OF BIRTH
PATIENT'S ADDRESS				-
	DIAGNOST	IC INFORMA	TION	
The patient has a confirmed	I memory problem and: MM	ISE score:	FAST score	
The cause of the patient's d probable Alzheimer's possible Alzheimer's possible Alzheimer's possible Alzheimer's	ementia is (check as approp Disease Disease with vascular comp Disease with Lewy bodies Disease with other (specify)	oriate): conent		
	TARGET SYMP	TOMS ESTA	BLISHED	
2				
3.				
3.	CHOLINEST	ERASE INHI	BITOR	
3	CHOLINEST his cholinesterase inhibit NC equested and starting dos 3) - Dosage: Ny(®) - Dosage: n®) - Dosage: 2 weeks of starting the above	ERASE INHI or before?) sage: _mgth _mgth _mgth we cholinesterase inh	BITOR mes daily mes daily mes daily ibitor.	
3	CHOLINEST his cholinesterase inhibit □ NC equested and starting dos ③) - Dosage: µ(®) - Dosage: 100) - Dosage: 12 weeks of starting the above ss:	ERASE INHI or before?) sage: _mgth _mgth _mgth we cholinesterase inh	BITOR mes daily mes daily mes daily ibitor.	



11/2003

Connectivty graph of relationships between symptoms



Connectivity graphs in dementia: treated mild vs. untreated moderate



Synthesis

- Tracking patient goals lessens arbitrariness in care.
- Evaluation of dementia treatment effects need to be individualized in practice.
- Individualization can also happen in trials, where its enhances evaluation of clinical meaningfulness and effectively complements standardized measures





Acknowledgments

Funding sources:

- Fountain Innovation
 Fund of the QEII Health
 Sciences Foundation
- Canadian Institutes of Health Research
- Dalhousie Medical Research Foundation

Colleagues & students:

- Susan Howlett
- Nadar Fallah
- Xiaowei Song
- Ruth Hubbard
- Melissa Andrew
- Michael Rockwood
- Samuel Searle

